



# INSTRUCTIONS FOR COMPLETING FORM

## INSTRUCTIONS FOR OWNERSHIP AUTHORIZATION FORM

If you want to authorize someone to have ownership rights to your entity owned annuity, we need your signature.

You need to print, complete and sign this form to begin your request.

After completing the form, you can return it to us one of three ways: by upload, mail or fax.

### **Upload the completed and signed form through the USAA Mobile App or usaa.com:**

From the USAA Mobile app:

1. Select the profile icon with your initials.
2. Select "Inbox" (Android only).
3. Select "Send documents to USAA."
4. Select "Upload other Documents."
5. Follow the screen prompts.

From usaa.com:

1. Log on to your account.
2. Select the profile icon with your initials.
3. Select "Inbox."
4. Select "Send documents to USAA."
5. Select "Upload Documents."
6. Select "Life & Health Insurance/Annuities."
7. Select "Next."
8. Follow the screen prompts.

### **You can also mail to:**

USAA Life Insurance Company  
USAA Life Insurance Company of New York  
9800 Fredericksburg Road  
San Antonio, TX 78288

### **Or you can fax to:**

210-498-3243 within the United States  
877-435-7099 from outside the United States

### **Questions?**

Call toll-free in the United States: 800-531-8722



## CERTIFICATE OF EXCEPTION FROM CURRENT TAXATION OF ANNUITY CONTRACTS NOT HELD BY NATURAL PERSONS

**Contract  
Information**

OWNER

USAA NUMBER

CONTRACT NUMBER

TAX ID NUMBER

**Please consult a professional tax and/or legal advisor to determine how Internal Revenue Code ("IRC") Section 72(u) may affect the tax status of the above-referenced annuity contract.**

Under penalties of perjury, I certify that the above-referenced annuity contract qualifies for one of the exceptions from current taxation under IRC Section 72(u) listed below. I also certify that if the status of the annuity contract changes at any time in the future, such that the annuity contract fails to qualify for the exception I have checked below, I will notify you promptly of such status change.

- ☐ (1) An annuity contract held by a trust or other entity as an agent for a natural person;
- ☐ (2) An annuity contract acquired by the estate of a decedent by reason of the death of the decedent;
- ☐ (3) An annuity contract held under a plan described in IRC Section 401(a) or 403(a), under a program described in Section 403(b), or under an individual retirement plan;
- ☐ (4) An annuity which is a qualified funding asset (as defined in IRC Section 130(d), but without regard to whether there is a qualified assignment);
- ☐ (5) An annuity contract purchased by an employer upon the termination of a plan described in IRC Section 401(a) or 403(a) and which is held by the employer until all amounts under such contract are distributed to the employee for whom the contract was purchased, or the employee's beneficiary; or
- ☐ (6) An annuity contract which is an immediate annuity as defined in IRC Section 72(u)(4).

IF THE ANNUITY CONTRACT DOES NOT FALL UNDER ONE OF THE EXCEPTIONS ABOVE, PLEASE MARK BOX 7 BELOW:

- ☐ (7) I certify the annuity contract does not qualify for any of the above-listed exceptions and therefore is subject to the treatment of annuity contracts not held by natural persons under IRC Section 72(u). I understand that any income on the contract will be taxable each year.

**SIGNATURE(S) AS REQUIRED:**

Signature

Date

Title

Please return to: USAA Life Insurance Company  
9800 Fredericksburg Road  
San Antonio, Texas 78288

USAA LIFE INSURANCE COMPANY 9800 Fredericksburg Road San Antonio, Texas 78288

